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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER Y04S022
INTERNATIONAL APPLICATION NO. PCT/JP2003/008520	INTERNATIONAL FILING DATE July 4, 2003	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/516, 849
PRIORITY DATE CLAIMED July 9, 2002		
TITLE OF INVENTION SUBSTRATE FOR THIN FILM FORMATION, THIN FILM SUBSTRATE, OPTICAL WAVEGUIDE, LIGHT EMITTING DEVICE AND...		
APPLICANT(S) FOR DO/EO/US MIYAHARA, Kenichiro		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Certificate of Translation 		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the accuracy of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop 01, U.S. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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10/516849 7 JAN 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Docket Number
Kenichiro Miyahara)	Y04S022
)	
Application Serial No.: 10/516, 849)	
)	Group art Unit: Unknown
Filing Date: December 3, 2004)	
)	
International Filing Date: July 4, 2003)	
)	Examiner: Unknown
International App. No. PCT/JP2003/008520)	

For: Substrate For Thin Film Formation, Thin Film Substrate, Optical Waveguide, Light Emitting Device And Substrate For Light Emitting Device Mounting

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF TRANSLATION

I hereby certified that the English translation submitted herewith is an accurate translation of the Japanese specification of the above stated application.

Date: January 5, 2005

Respectfully submitted,



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Docket No.: Y04S022

UNITED STATES ELECTED OFFICE

In re Application of:)
Kenichiro Miyahara)
Application Serial No.: 10/516, 849)
Filing Date: December 3, 2004)
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Commissioner for Patents
Mail Stop PCT
P.O. Box 1450
Alexandria, VA 22313-1450


CERTIFICATE OF EXPRESS MAILING

Express Mailing Label No.: ER 645798122 US
Date of Deposit: January 7, 2005

I hereby certify that the papers enclosed herewith:

1. a Transmittal Letter;
2. an English Language Translation of the International Application as filed;
3. a Declaration of the Inventor;
4. an Information Disclosure Statement under 37 CFR 1.97 and 1.98;
5. a Certificate of Translation;
6. a Power of Attorney; and
7. a return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to: Commissioner for Patents, Mail Stop PCT P.O. Box 1450 Alexandria, VA 22313-1450.


Kurara Yamagishi